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|---|----------------------|----------|------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number   |          | 10599069   |  |
|   | Filing Date          |          | 2006-09-19 |  |
|   | First Named Inventor | TUKKER   |            |  |
|   | Art Unit             |          |            |  |
|   | Examiner Name        |          |            |  |
| Attorney Docket Number  |                      | NL040353 |            |  |

## U.S. PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       | 6687037       | B1                     | 2004-02-03 | KPENV   |  |

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|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       | 20040047269        | A1                     | 2004-03-11       | IKENAKA KIYONO ET AL                            |  |

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## FOREIGN PATENT DOCUMENTS

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|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | WO20050093735                        |                             | A1                     | 2005-10-06       | KPENV   |  | <input type="checkbox"/> |
|                   | 2       | WO2003006891                         |                             | A2                     | 2003-07-24       | KPENV   |  | <input type="checkbox"/> |
|                   | 3       | WO20030060892                        |                             | A2                     | 2003-07-24       | KPENV   |  | <input type="checkbox"/> |

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|---|---------------|--|----|------------|-------|--|--------------------------|
| 4 | WO20020041307 |  | A1 | 2002-05-23 | KPENV |  | <input type="checkbox"/> |
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|--------------------|---------|---|--------------------------|
|                    | 1       | BOUWHUIS, J. ET AL: "PRINCIPLES OF OPTICAL DISC SYSTEMS"; (ADAM HILGER LTD, 1995);PP.70-73,75-80.   | <input type="checkbox"/> |

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                   |                     |                       |
|------------|-------------------|---------------------|-----------------------|
| Signature  | /MICHAEL E. BELK/ | Date (YYYY-MM-DD)   | 2006-01-12 2007-01-18 |
| Name/Print | MICHAEL E. BELK   | Registration Number | 33357                 |

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